

EXPRESS MAIL NO. EV322434608US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. BPHOTO.011

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450



Sir:

Transmitted herewith for filing is the patent application of Inventor(s):

GARY A. EVANS

For: CHIP-SCALE WDM SYSTEM USING GRATING-OUTCOUPLED SURFACE-EMITTING LASERS

Assignee Name: Photodigm, Inc.
Assignee Residence: 1155 East Collins Boulevard, Suite 200, Richardson, Texas 75081

Enclosed are also:

- ☒ 23 Pages of Specification including an Abstract
- ☒ 5 Pages of Claims (Claims 1 - 23)
- ☒ 7 Sheet(s) of *formal* drawings
- ☒ A Declaration and Power of Attorney
- ☒ Form PTO 1595 and assignment of the invention to Photodigm, Inc.
- ☒ Information Disclosure Statement
- ☒ Form PTO 1449 and References AG-AY
- ☒ Statement of Small Entity Status

CLAIMS AS FILED

FOR	Number Filed		Number Extra		Rate		Basic Fee (\$385)
Total Claims	23	-20 =	3	X	\$ 9	=	\$ 27.00
Independent Claims	6	-3 =	3	X	\$ 43	=	\$129.00
Multiple Dependent Claims	0			X	\$140	=	\$ 0.00
Total Filing Fee =							\$541.00

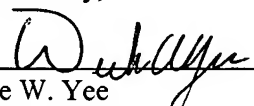
Please charge \$541.00 to Deposit Account No. 50-0392.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with the communication or credit any over payment to Deposit Account No. 50-0392. A duplicate copy of this sheet is enclosed.

☒ Any additional filing fees required under 37 CFR § 1.16.

☒ Any patent application processing fees under 37 CFR § 1.17.

Respectfully,


Duke W. Yee
Reg. No. 34, 285
Carstens, Yee and Cahoon, L.L.P.
P.O. Box 802334
Dallas, Texas 75380
Telephone: (972) 367-2001

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" mailing label number EV322434608US. Date of deposit October 9, 2003. I hereby certify that the papers or fees listed below are being deposited with the United States Postal Service by "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Carrie Parker

Carrie Parker

ENCLOSED are the following documents, being sent by "Express Mail":

1. Transmittal Letter in duplicate;
2. Statement of Small Entity Status;
3. Recordation;
4. Assignment (1);
5. Declaration and Power of Attorney (1);
6. Patent Application (23 pgs. spec. & abstract, 5 pgs. Claims 1 - 23);
7. 7 pages of *formal* drawings;
8. Information Disclosure Statement;
9. Form PTO 1449 and References AG-AY
10. Our check in the amount of \$581.00; and
11. Two return postcards.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application: **Evans**

Serial No.: **Unknown**

Filed: **October 9, 2003**

For: **Chip-Scale WDM System Using
Grating-Outcoupled Surface-Emitting
Lasers**

§
§
§
§
§
§
§
§
§

Attorney Docket No.: **BPHOTO.011**

STATEMENT OF SMALL ENTITY STATUS

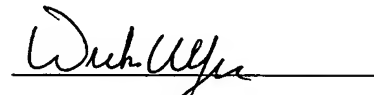
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Photodigm, Inc. is entitled to small entity status thereby allowing them to pay reduced filing fees for the enclosed patent application.

DATE: 10/9/03

Respectfully submitted,



Duke W. Yee
Reg. No. 34,285
Carstens, Yee & Cahoon, LLP
P.O. Box 802334
Dallas, TX 75380
(972) 367-2001
Attorney for Applicant